

Applicant : Gordon B. Dow  
Serial No. : 09/293,737  
Filed : April 16, 1999  
Page : 16 of 21

Attorney's Docket No.: 07844-315001 / P289

### REMARKS

Claims 1-9, 11, 13-23, 28, 29, 31-33, 35, 36, 38-40 and 42-59 are pending, with claims 1, 7, 11, 15, 19, 28, 29, 31-33, 35, 36, 38-40, being independent. Reconsideration and allowance of the above-referenced application are respectfully requested in light of the following remarks.

Claims 1, 2, 5, 6, 28, 35, 42, 45 and 46 stand rejected under 35 U.S.C. §103(a) as allegedly being unpatentable over U.S. Patent No. 5,469,538 ("Razdow '538") in view of U.S. Patent No. 5,929,864 ("Picott") and further in view of U.S. Patent No. 5,404,428 ("Wu").

Claims 7, 9, 29, 36 and 48 stand rejected under 35 U.S.C. §103(a) as allegedly being unpatentable over Razdow '538 in view of Picott.

Claims 11, 13-18, 31, 32, 38, 39, 49 and 50-53 stand rejected under U.S.C. §103(a) as allegedly being unpatentable over U.S. Patent No. 6,272,672 B1 ("Conway") in view of U.S. Patent No. 5,537,593 ("Diamond ") and further in view of Wu.

Claims 19-23, 33, 40, 54-59 stand rejected under 35 U.S.C. §103(a) as allegedly being unpatentable over Conway in view of U.S. Patent No. 5,526,475 (Razdow '475) and further in view of U.S. Patent No. 5,689,711 ("Bardasz").

Claims 3, 4, 43 and 44 stand rejected under 35 U.S.C. §103(a) as allegedly being unpatentable over Razdow'538 in view of Picott and further in view of Wu as applied to claim 1, and further in view of Conway.

Claims 8 and 47 stand rejected under 35 U.S.C. Section 103(a) as allegedly being unpatentable over Razdow '538 in view of Picott as applied to claim 7, and further in view of Conway.

### Section 103(a) Rejections

Claims 1, 2, 5, 6, 28, 35, 42, 45 and 46 stand rejected in view of Razdow '538, Picott and Wu. Claim 1 recites in part, "when the value of object B changes, invalidating the dependents of object B and all of their further dependents, including severing dependencies among the dependents of object B and all of their further dependents." The relied upon portions of the cited references alone and in combination fail to teach or suggest this feature of claim 1.

Applicant : Gordon B. Dow  
Serial No. : 09/293,737  
Filed : April 16, 1999  
Page : 17 of 21

Attorney's Docket No.: 07844-315001 / P289

The Examiner again conceded that Razdow '538 is silent with respect to severing dependencies. *See* Office Action mailed 3/24/06, pp. 2-3, ¶5. The relied upon portion of Wu teaches that when a view model attribute is modified by an application program, derived items dependent upon that attribute are invalidated but not severed from the acyclic graph. *See* Wu, col. 9, lines 1-47. Finally, the cited portion of Picott merely describes message sending between nodes in a directed acyclic graph (Picott, col. 7, lines 28-35):

When DAG node 230 requests redraw of dependency node A, dependency node A sends an "evaluate me" message to dependency node B. Dependency node B then evaluates and returns results to dependency node A over communication channel 220. Note that dependency node A knows how to control DAG node 230. Thus, when data is returned from dependency node B, dependency node A can pass the data onto DAG node 230.

Accordingly, claim 1, as well as claims 2, 5 and 6, which depend from claim 1, are in condition for allowance. Claims 28, 35, 42, 45 and 46 include limitations analogous to those of claim 1 and are in condition for allowance for at least the same reasons.

Claims 7, 9, 29, 36 and 48 stand rejected in view of Razdow '538 and Picott. Claim 7 recites in part, "identifying the objects upon which a given object depends as those objects into which the given object passed itself as a requester during execution of a compute method of the given object." The relied upon portions of the cited references alone and in combination fail to teach or suggest this feature of claim 7.

The cited portions of Razdow '538 describe representing numeric equations as dependency graphs, but do not discuss this feature, much less object oriented programming. *See* Razdow, col. 8, lines 21-43; col. 11, lines 39-61. Likewise, the relied upon portion of Picott merely describes message sending between nodes in a directed acyclic graph. *See* Picott, col. 7, lines 28-35.

Accordingly, claim 7, as well as claim 9, which depends from claim 7, are in condition for allowance. Claims 29, 36, and 48 include limitations analogous to those of claim 1 and are in condition for allowance for at least the same reasons.

Claims 11, 13-18, 31, 32, 38, 39, 49 and 50-53 stand rejected in view of Conway, Diamond and Wu. Claim 11 recites in part, "receiving a change to a value of a changed object,

Applicant : Gordon B. Dow  
Serial No. : 09/293,737  
Filed : April 16, 1999  
Page : 18 of 21

Attorney's Docket No.: 07844-315001 / P289

the changed object having objects depending directly on the changed object and objects depending indirectly on the changed object through an object different from the changed object... severing dependencies from the changed object and all of its direct and indirect dependent objects.”

The Examiner again conceded that Conway is silent with respect to severing dependencies. See Office Action mailed 3/24/06, p. 7, ¶26. But the Examiner argued that Diamond remedies the deficiency in Conway. The relied upon portion of Diamond is as follows (Diamond, col. 8, lines 45-49, *emphasis added*):

The "re-evaluate bounds downward" message is used to propagate downward flowing bounds principally in heterogeneous graphs or trees, e.g., mini-max decision processes. This type of graph or tree is set by the parameters entered by the user. D-bounds are used to promote pruning of nodes located on paths other than that of the originating node. These pruning actions take place when these more or less global bounds are passed downwardly from parent to offspring where a determination is made to sever the link between offspring and parent.

As preliminary matter, the relied upon portions of Diamond do not teach or suggest severing dependencies for an object when the object has a change in value. Rather, Diamond discloses that pruning is triggered in response to a "re-evaluate bounds upward" message generated by a node that has determined bounds for itself. See Diamond, col. 8, lines 50-56. Secondly, the relied upon portion of Diamond does not disclose severing dependencies from the changed object. In contrast, Diamond discloses that node pruning removes nodes located on paths other than that of the originating node: "[t]he end result is that bounds originating on one path are propagated down another path, resulting in a cut off or pruning action." Diamond, col. 9, lines 6-9.

The relied upon portion of Wu teaches that when a view model attribute is modified by an application program, derived items dependent upon that attribute are invalidated but not severed from the acyclic graph. See Wu, col. 9, lines 1-47.

Accordingly, neither Conway, Diamond nor Wu, alone or in combination, render claim 11 obvious. For at least these reasons, claim 11, and claims 13-14 which depend from claim 11,

Applicant : Gordon B. Dow  
Serial No. : 09/293,737  
Filed : April 16, 1999  
Page : 19 of 21

Attorney's Docket No.: 07844-315001 / P289

are in condition for allowance. Claims 15-18, 31, 32, 38, 39, 49 and 50-53 include analogous limitations are therefore in condition for allowance for at least the same reasons as claim 11.

Claims 19-23, 33, 40, 54-59 stand rejected in view of Conway, Razdow '475 and Bardasz. Claim 19 recites in part, "calculating the dependency among objects in the set of objects dynamically at the time objects calculate their values."

Conway fails to teach or suggest this feature, as does Bardasz. The cited portion of Conway merely describes message sending between components during recomputation of outputs. *See* Conway, FIG. 6, and col. 21, lines 1-46. According to Bardasz, "[d]ata objects are components in the graph that contain values." Bardasz, col. 6, lines 46-47. "Operators are components in the graph that can take action on at least one data object and are functions or evaluable expressions." Bardasz, col. 6, lines 50-53. The relied upon text in Bardasz discloses determining dependencies of operators on data objects. *See* Bardasz, col. 20, lines 18-25. However, the dependencies between operators and data objects already exist in the dependency graph of Bardasz *before* operator components are evaluated.

The relied upon portion of Razdow '475 reads as follows (Razdow '475, col. 4, line 63 – col. 5, line 10, *emphasis added*):

The expression compiler 14 generates a new value of the modified expression. Next, the expression compiler 14 automatically goes down the linked list that represents the numerical dependency graph and marks as "out of date" all expressions that depend on the modified expression. Next, each node that has been marked out of date in turn recalculates itself (with the assistance of the numerical computational engine 18). In performing each recalculation, the node looks up the graph 16 to find the new values of an recalculated nodes (i.e., expressions). When a node is recalculated, the editor automatically updates the document 12. Accordingly, the document 12 is always the most current representation of the nodes of the numerical dependency graph 16. The numerical computational engine 18 has access to a numerical library 20 that is a library of subroutines for performing numerical computations.

The above quoted passage from Razdow '475 fails to teach or suggest calculating the dependency among objects in the set of objects dynamically at the time objects calculate their values. As was the case with Bardasz, the dependencies already exist in the dependency graph

Applicant : Gordon B. Dow  
Serial No. : 09/293,737  
Filed : April 16, 1999  
Page : 20 of 21

Attorney's Docket No.: 07844-315001 / P289

*before* the expression is evaluated. This is apparent since the expression compiler 14 traverses “a linked list that represents the numerical dependency graph.”

Accordingly, Conway, Razdow '475 and Bardasz, alone or in combination, render claim 19 obvious. For at least these reasons, claim 19, and claims 20-23, 58 and 59, which depend from claim 19, are in condition for allowance. Claims 33, 40, and 54-57 include analogous limitations are therefore in condition for allowance for at least the same reasons as claim 19.

Claims 3, 4, 43 and 44 stand rejected in view of Razdow'538, Picott, Wu and Conway. Claim 3 depends from claim 1. As addressed above, Razdow '538, Picott and Wu, fail to teach or suggest “when the value of object B changes, invalidating the dependents of object B and all of their further dependents, including severing dependencies among the dependents of object B and all of their further dependents,” as required by claim 1. The relied upon portion of Conway fails to remedy this deficiency.

Accordingly, claim 3 is in condition for allowance. Claims 4, 43 and 44 include analogous limitations and are in condition for allowance for at least the same reasons.

Claims 8 and 47 stand rejected in view of Razdow '538, Picott and Conway. Claim 8 depends from claim 7. As addressed above, Razdow '538 and Picott, fail to teach or suggest “identifying the objects upon which a given object depends as those objects into which the given object passed itself as a requester during execution of a compute method of the given object,” as required by claim 7. The relied upon portion of Conway fails to remedy this deficiency.

Accordingly, claim 8 is in condition for allowance. For at least the same reasons set forth above with respect to claim 7, claim 47 is also in condition for allowance.

Applicant : Gordon B. Dow  
Serial No. : 09/293,737  
Filed : April 16, 1999  
Page : 21 of 21

Attorney's Docket No.: 07844-315001 / P289

### Conclusion

By responding in the foregoing remarks only to particular positions taken by the examiner, the Applicant does not acquiesce with other positions that have not been explicitly addressed. In addition, the Applicant's arguments for the patentability of a claim should not be understood as implying that no other reasons for the patentability of that claim exist.

The Applicant respectfully requests that all pending claims be allowed. Please apply any charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: 6/26/06

Daniel J. Burns  
Reg. No. 50,222

Customer No. 21876  
Fish & Richardson P.C.  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

50346077.doc